

## UNITED STATES PATENT AND TRADEMARK OFFICE



APPLICATION NO.	F	ILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
08/889,440	889,440 07/08/1997		MUNETAKA TAKEUCHI	21.1837/PIK	3473
21171	7590	02/07/2006		EXAMINER	
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WASHINGTON, DC 20005				2128	
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Please find below and/or attached an Office communication concerning this application or proceeding.

## Notification of Non-Compliant Appeal Brief (37 CFR 41.37)

Applicant(s)		
TAKEUCHI ET AL.		
Art Unit		
2128	·	
	TAKEUCHI ET A	

--The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

The Appeal Brief filed on <u>10 September 2004</u> is defective for failure to comply with one or more provisions of 37 CFR 41.37.

To avoid dismissal of the appeal, applicant must file anamended brief or other appropriate correction (see MPEP 1205.03) within ONE MONTH or THIRTY DAYS from the mailing date of this Notification, whichever is longer. EXTENSIONS OF THIS TIME PERIOD MAY BE GRANTED UNDER 37 CFR 1.136.

1. The brief does not contain the items required under 37 CFR 41.37(c), or the items are not under the proper heading or in the proper order.

canceled), or does not identify the appealed claims (37 CFR 41.37(c)(1)(iii)).

3. 
At least one amendment has been filed subsequent to the final rejection, and the brief does not contain a

The brief does not contain a statement of the status of all claims, (e.g., rejected, allowed, withdrawn, objected to,

- statement of the status of each such amendment (37 CFR 41.37(c)(1)(iv)).

  (a) The brief does not contain a concise explanation of the subject matter defined in each of the independent
- claims involved in the appeal, referring to the specification by page and line number and to the drawings, if any, by reference characters; and/or (b) the brief fails to: (1) identify, for each independent claim involved in the appeal and for each dependent claim argued separately, every means plus function and step plus function under 35 U.S.C. 112, sixth paragraph, and/or (2) set forth the structure, material, or acts described in the specification as corresponding to each claimed function with reference to the specification by page and line number, and to the drawings, if any, by reference characters (37 CFR 41.37(c)(1)(v)).
- 5. The brief does not contain a concise statement of each ground of rejection presented for review (37 CFR 41.37(c)(1)(vi))
- 5. The brief does not present an argument under a separate heading for each ground of rejection on appeal (37 CFR 41.37(c)(1)(vii)).
- 7. The brief does not contain a correct copy of the appealed claims as an appendix thereto (37 CFR 41.37(c)(1)(viii)).
  - The brief does not contain copies of the evidence submitted under 37 CFR 1.130, 1.131, or 1.132 or of any other evidence entered by the examiner **and relied upon by appellant in the appeal**, along with a statement setting forth where in the record that evidence was entered by the examiner, as an appendix thereto (37 CFR 41.37(c)(1)(ix)).
- The brief does not contain copies of the decisions rendered by a court or the Board in the proceeding identified in the Related Appeals and Interferences section of the brief as an appendix thereto (37 CFR 41.37(c)(1)(x)).
- 10. ☑ Other (including any explanation in support of the above items):

Appellants were required to correct claim 24 in the Brief to bring it into conformance with the amendment of 8/22/2002. However, it is respectfully noted that the Board as well as Appellants are referring to the marked up copy of the claims in the amendment of 8/22/2004. The claims in the marked up copy are not consonant with the claims as entered in the same amendment. The claims in the Brief are correct with respect to the amendment (for example, see page 3 of the amendment of 8/22/2004). Furthermore, it is noted that the the word "and" was never recited on line 4 of claim 24, although the word "can" is recited after the word "information". In any case, Appellants have also amended all independent claims, without being directed to do so by the Board of Appeals to make additional changes. For example, claim 23 of the amendment of 8/22/2004 recites "...wherein the information can include..." Appellants have now amended the claim to recite "...wherein the information includes...", contrary to the Board's directive. Since Appellants have amended the claims corresponding to the Brief of 10/20/2003, said Brief is now defective.